Application form for Pseudo Generation Settlement Facility Registration by a Market Participant

including explanatory notes
IMPORTANT: Please read this carefully before completing this form. Italicised words and expressions used herein (but not otherwise defined herein) shall have the same meanings respectively ascribed to them in the Singapore Electricity Market Rules.

Why do you need to complete this form?
Under the market rules, if you are not a market support services licensee, you are not permitted to participate in the real-time markets or to cause or permit any physical service to be conveyed into, through or out of the transmission system unless you have been registered by the EMC as a market participant, and your facility to or from which the physical service is to be so conveyed has been registered by the EMC as a registered facility, a commissioning generation facility, a generation settlement facility or a non-exporting embedded intermittent generation facility in accordance with the market rules.

The EMC is licensed by the Energy Market Authority (the “Authority”) to operate the wholesale electricity markets (to which the real-time markets form a part) in Singapore. One of the EMC’s responsibilities is to manage the registration of facilities in the wholesale electricity markets. You must complete and submit this form to apply to have a facility registered by the EMC if you wish to convey any physical services into, through or out of the transmission system and that facility is required or permitted to be registered under the market rules.

Why did EMC develop this form?
The EMC has developed this form for a market participant to apply for registration of one or more intermittent generation facilities that are not embedded intermittent generation facilities (as referred to in section 5.4C.3.2 of Chapter 2 of the market rules) as a generation settlement facility as described in section 5.4C of Chapter 2 of the market rules. You should read the relevant sections of the market rules prior to completing this form. Please also read the EMC’s disclaimer at the end of this form.

Who is eligible to apply for registration?
You must be registered as a market participant before you are eligible to apply for registration of your facilities.

However, if you are only granted conditional registration as a market participant, you may also apply for registration of your facilities, but your facilities will not be registered unless you become registered as a market participant within the relevant conditional registration deadline.

What are the types of generation facility registration?
The four types of generation facility registration are:

- Generation registered facility (you need to complete a separate form for this type of registration)
- Generation settlement facility (you need to complete a separate form for this type of registration unless you are applying for registration as a generation settlement facility as described in section 5.4C of Chapter 2 of the market rules)
- Commissioning generation facility (you need to complete a separate form for this type of registration)
- Non-exporting embedded intermittent generation facility (you need to complete a separate form for this type of registration).

This application form is to be used only by a market participant for application for registration of one or more intermittent generation facilities as a generation settlement facility as described in section 5.4C of Chapter 2 of the market rules. A generation settlement facility is registered for the delivery of energy only and is not subject to dispatch by the PSO.

To register one or more generation facilities as a generation settlement facility as described in section 5.4C of Chapter 2 of the market rules, you are required to represent, warrant and undertake (as continuing representations, warranties and undertakings) to the EMC in writing that, at all times, such generation settlement facility shall be comprised only of intermittent generation facilities that are not embedded intermittent generation facilities (as referred to in section 5.4C.3.2 of Chapter 2 of the market rules) with individual name-plate rating of less than 10 MW, and comply with all the requirements under section 5.4C of Chapter 2 of the market rules.
When will you know the outcome of your application?
The EMC will notify you in writing of the outcome within 30 business days of the EMC being satisfied that your application to register a generation settlement facility pursuant to section 5.4C of Chapter 2 of the market rules meets all the requirements under the market rules, the applicable market manuals and the system operation manual. If your application is declined, the notification will identify why this was the case. A duly and accurately completed form will expedite the EMC's processing of your application.

What should you do if you have more than one generation settlement facility to register pursuant to section 5.4C of Chapter 2 of the market rules?
Please fill in a separate form for each application for registration of a generation settlement facility that is pursuant to section 5.4C of Chapter 2 of the market rules. You can download additional copies of the form from the EMC website at www.emcsq.com.

Is there an application fee for your application to register a facility?
There is no application fee payable for your application to register a facility.
Summary of requirements for registration of one or more intermittent generation facilities as a generation settlement facility pursuant to section 5.4C of Chapter 2 of the market rules

The key requirements are outlined in the table below:

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>Meet the EMC’s requirements</td>
</tr>
<tr>
<td></td>
<td>You must ensure that:</td>
</tr>
<tr>
<td></td>
<td>• the generation settlement facility to be registered shall be comprised only of intermittent generation facilities that are not embedded intermittent generation facilities (as referred to in section 5.4C.3.2 of Chapter 2 of the market rules);</td>
</tr>
<tr>
<td></td>
<td>• every intermittent generation facility as may from time to time be comprised in such generation settlement facility is directly connected to a generating station;</td>
</tr>
<tr>
<td></td>
<td>• such generation settlement facility shall not be comprised of any intermittent generation facility having a name-plate rating of 10 MW or more;</td>
</tr>
<tr>
<td></td>
<td>• every intermittent generation facility as may from time to time be comprised in such generation settlement facility meets the connection-related requirements and the metering-related requirements set forth or referred to in Chapter 4 of the market rules;</td>
</tr>
<tr>
<td></td>
<td>• your facilities and equipment meet all applicable technical requirements set forth in the market rules, any applicable market manuals or the system operation manual; and</td>
</tr>
<tr>
<td></td>
<td>• you are able to perform all the functions and obligations applicable to you under the market rules, the market manuals or the system operation manual.</td>
</tr>
<tr>
<td>B</td>
<td>Meet the PSO’s requirements</td>
</tr>
<tr>
<td></td>
<td>• You must complete the PSO’s Data Form for Generation Facility Registration (as set out in Appendix B of this application form) for the facility, meeting all the applicable technical requirements and attaching any required documents during submission.</td>
</tr>
<tr>
<td></td>
<td>• The registration of your facility is subject to the PSO advising the EMC that such facility poses no threat to the reliability or security of the PSO controlled system.</td>
</tr>
<tr>
<td>C</td>
<td>Meet metering requirements</td>
</tr>
<tr>
<td></td>
<td>You must provide the metering details (as set out in Appendix C of this application form) for the facility and meet all the requirements set out in Appendix C of this application form.</td>
</tr>
<tr>
<td>D</td>
<td>Prepare and attach required documents</td>
</tr>
<tr>
<td></td>
<td>You must duly complete this application form in respect of the facility to be registered and provide all required supporting documents with your application, including the following:</td>
</tr>
<tr>
<td></td>
<td>• An original duly completed and executed Certificate and Undertaking (substantially in the form and terms prescribed in Appendix A of this application form, as applicable) for the facility.</td>
</tr>
<tr>
<td></td>
<td>• An original duly completed Data Form for Pseudo Generation Settlement Facility Registration (as described in Appendix B of this application form) for the facility.</td>
</tr>
<tr>
<td>E</td>
<td>Registration as a generation settlement facility pursuant to section 5.4C of Chapter 2 of the market rules</td>
</tr>
<tr>
<td></td>
<td>Your facility will be registered as a generation settlement facility and classified as a pseudo generation settlement facility pursuant to section 5.4C of Chapter 2 of the market rules if the EMC is satisfied that the facility meets all the requirements for such facility registration under the market rules, the applicable market manuals or the system operation manual. The EMC will notify you of the terms and conditions of the registration in an official notice to you. If your application is declined, the EMC will write to you stating the reasons.</td>
</tr>
</tbody>
</table>

How can you obtain more information?
In addition to this form and the market rules, you may obtain more information by contacting the EMC Market Administration Team by telephone at +65 6779 3000. Alternatively, you may visit the EMC website at www.emcsg.com.
Please print clearly. Illegible writing or incomplete forms may delay the processing of your application.

A. APPLICANT DETAILS

Name of Applicant (in full): ________________________________________________________________

Identification Number\(^1\): __________________________________________________________________

Registered Address: ______________________________________________________________________

B. REGULATORY DETAILS

1. Authorised activities relating to electricity under your electricity licence(s):

   Note: You may check one or more of the following boxes indicating the type of activities authorised under your electricity licence(s).

   - [ ] generate electricity
   - [ ] retail electricity
   - [ ] transmit electricity
   - [ ] transmit electricity for or on behalf of a transmission licensee
   - [ ] import electricity
   - [ ] export electricity
   - [ ] trade in wholesale electricity market

   Electricity licence number(s): ____________________________________________________________

   State activities exempted from licensing requirement: _______________________________________

   Exemption order number(s): ____________________________________________________________

2. Derogation requested: [ ] Yes [ ] No

3. Wholesale Electricity settlement account: ________________________________

\(^1\) This refers to the Applicant’s ACRA registration number, Unique Entity Number or NRIC number, as the case may be.
C. FACILITY DETAILS

5. Name of the facility: ________________________________________________________________

6. Is every intermittent generation facility comprised in such generation settlement facility owned and operated by you?
   □ Yes  □ No

7. Is the generation settlement facility comprised only of intermittent generation facilities that are not embedded intermittent generation facilities (as referred to in section 5.4C.3.2 of Chapter 2 of the market rules)?
   □ Yes  □ No

8. Is every intermittent generation facility comprised in such generation settlement facility directly connected to a generating station?
   □ Yes  □ No

9. Does every intermittent generation facility comprised in such generation settlement facility have a name-plate rating of no more than 10 MW?
   □ Yes  □ No

10. Does every intermittent generation facility comprised in such generation settlement facility meet the connection-related requirements and the metering-related requirements set forth or referred to in Chapter 4 of the market rules?
    □ Yes  □ No

11. Meter ID number(s): ________________________________________________________________
    (Please also complete Appendix C of this application form.)

D. CHECKLIST OF SUPPORTING DOCUMENTS REQUIRED

You must attach the following documents to your application form:

□ An original duly completed and executed Certificate and Undertaking (substantially in the form and terms prescribed in Appendix A of this application form) for the above facility.

□ An original duly completed Data Form for Generation Facility Registration required by the PSO (as described in Appendix B of this application form) for the above facility.

FOR EMC OFFICIAL USE

Assigned MNN number for this facility: ___________________________
E. COVENANT AND DECLARATION

We/I, the undersigned applicant, having read and fully understood the market rules, the terms of this application form, the applicable market manuals, the system operation manual, the Electricity Act, and any other applicable laws, codes, rules, procedures or policies that are relevant to or regulate the wholesale electricity markets (collectively the ‘Regulations’), and/or having had the benefit of relevant independent advice, hereby apply to register our/my facility as a generation settlement facility as stated in this application form.

We/I hereby agree to be bound by, and to comply with, all the Regulations which may be applicable to us/me, our/my registration application herein, our/my facility and our/my participation in the relevant wholesale electricity markets.

We/I further hereby declare that the information provided by us/me (or on our/my behalf) in or in connection with this registration application is true, complete and accurate and not misleading or omitting any material particular (to the best of our/my knowledge, information and belief after having carried out all necessary due diligence to determine the same) and hereby covenant to be subject to any sanctions, penalties or orders as may be imposed by the relevant authorities/parties under the Regulations, in the event that such information is found to be untrue, misleading or inaccurate in any material particular.

We/I hereby undertake to immediately notify the EMC of any change to any information provided in relation to our/my application for registration herein.

We/I further hereby covenant to be legally bound by the relevant dispute resolution provisions under the market rules and any applicable market manual, in the event that this registration application is denied and a dispute arises from such unsuccessful application.

We/I confirm and acknowledge that the information provided by us/me (or on our/my behalf) in or in connection with this registration application may need to be disclosed, communicated or exchanged by the EMC to or with other parties, including but not limited to the Authority, the PSO and a market support services licensee, as necessary, desirable or expedient for the purposes of processing this registration application under the Regulations and we/I hereby consent to such disclosure, communication or exchange.

Signed for and on behalf of ______________________________________________

(Name of Applicant)

Signature: __________________________________

Name of officer: __________________________________

Position/designation: __________________________________

Date: __________________________________
F. EMC’S DISCLAIMER

Energy Market Company Pte Ltd (“EMC”) has produced this publication for use in connection with the Singapore Electricity Market. This publication is not a substitute for and should not be read in lieu of the Singapore Electricity Market Rules or any other applicable laws, codes, rules, procedures, manuals or policies that are relevant to or regulate the Singapore Electricity Market or the electricity industry. The contents of this publication do not constitute legal or business advice and should not be relied upon as a substitute for obtaining such advice.

EMC, its directors and employees, subsidiaries, associates and affiliates make no representations, endorsements or warranties of any kind whatsoever in relation to the contents of this publication, and in no event shall EMC, its directors and employees, subsidiaries, associates and affiliates be liable to any party for any damages, claims, expenses or losses of any kind arising from or in connection with any use of or reliance on the accuracy, availability, currency, title, non-infringement, quality, reliability, suitability and completeness of the contents of this publication.

Any modifications or amendments to this publication shall be made in accordance with the relevant provisions of the Singapore Electricity Market Rules.
APPENDIX A

SAMPLE CERTIFICATE AND UNDERTAKING
[To be set out on the applicant’s letterhead.]

CERTIFICATE AND UNDERTAKING

To: Energy Market Company Pte Ltd
   (hereinafter referred to as the “EMC”)

We/I, [insert state name of applicant], refer to our/my Application Form for Pseudo Generation Facility Registration by a Market Participant dated [insert state date] for the registration of [insert identify the relevant generation facility here] (“the Facility”) as a generation settlement facility under the market rules and the applicable market manuals of the EMC (“the Application”).

Pursuant to the Application, we/I hereby represent, warrant and undertake (as continuing representations, warranties and undertakings) to the EMC (with a view to the EMC relying upon our/my continuing representations, warranties and undertakings herein in considering our/my Application and, if the Facility is approved to be and is registered by the EMC, in continuing to maintain such registration) that, at all times:

1) the Facility shall be comprised only of intermittent generation facilities that are not embedded intermittent generation facilities (as referred to in section 5.4C.3.2 of Chapter 2 of the market rules);

2) every intermittent generation facility as may from time to time be comprised in the Facility is directly connected to a generating station;

3) the Facility shall not be comprised of any intermittent generation facility having a name-plate rating of 10 MW or more;

4) every intermittent generation facility as may from time to time be comprised in the Facility meets the connection-related requirements and the metering-related requirements set forth or referred to in Chapter 4 of the market rules;

5) we/I have (and we/I will continue to have through to, on and from the registration of the Facility by the EMC) operational control and authority over the Facility for the purposes of the market rules;

6) all our/my facilities and equipment to which the Application relates meet all applicable technical requirements under the market rules, the applicable market manuals and the system operation manual;

7) we/I have adequate qualified personnel and organisational and other arrangements that are sufficient to enable us/me to perform all of our/my functions and obligations applicable to us/me as market participants under the market rules, the applicable market manuals and the system operation manual, including reliable services during normal and emergency situations, in respect of our/my participation in the wholesale electricity market; and

8) we/I understand that the Facility will be classified by the EMC as a pseudo generation settlement facility.

We/I further undertake to immediately notify the EMC if any of our/my above representations and warranties ceases to be true, accurate or complete in any respect, or if we/I cease to be in full compliance with all of our/my above undertakings.

Our/my representations, warranties and undertakings herein shall be governed by and construed in accordance with the laws of the Republic of Singapore. All italicised expressions used herein shall have the same meanings as respectively ascribed to them in the Singapore Electricity Market Rules of the EMC.

Signed for and on behalf of ________________________________
   (Name of Applicant)

Signature: ____________________________________________
APPENDIX B

Power System Operator (PSO) Data Form for Generation Facility Registration

- The facility registration form is available for download from the EMA’s website at www.ema.gov.sg/NEMS_Activities.aspx.
- Please note that the form may be amended, updated or replaced from time to time and you should ensure that you obtain and use the most up-to-date version available at the above website at the time of your application.
APPENDIX C

Metering Details

For each pseudo generation settlement facility, you are required to:

- identify and provide the MSSL with the list of meters of every intermittent generation facility (along with details of the solar PV installation, including but not limited to the premises address) comprised in the pseudo generation settlement facility;
- provide confirmation to the MSSL the list of meters associated with the pseudo generation settlement facility upon set-up of the generation account;
- inform the MSSL regarding any subsequent updates to the pseudo generation settlement facility; and
- install, commission, maintain, replace, repair and test each meter installation to ensure they are in good working conditions, have been tested for accuracy by accredited laboratory, and meet the applicable standards described in the Metering Code.

<table>
<thead>
<tr>
<th>S/No</th>
<th>Generation Facility Name</th>
<th>Meter Type (Main, Check or Auxiliary)</th>
<th>Meter Serial Number</th>
<th>Premise Address</th>
<th>Station Load Meter Name (Please show tagging, if any)</th>
<th>Station Load Meter Serial Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Note: Please tag the station loads (if any) to the generation facility.